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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/848,051	
			Filing Date	May 3, 2001	
			First Named Inventor	Barton et al.	
			Group Art Unit		
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	60709-00012

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
0	AA	5,233,513		Doyle	Aug. 3, 1993	
0	AB	5,813,009		Johnson et al.	Sep. 22, 1998	
0	AC	5,819,263		Bromley et al.	Oct. 6, 1998	
0	AD	5,980,429		Nashner	Nov. 9, 1999	
0	AE	5,987,474		Sandifer	Nov. 16, 1999	
0	AF	6,163,732		Petke et al.	Dec. 19, 2000	
0	AG	6,209,004		Taylor	Mar. 27, 2001	
0	AH	6,256,773		Bowman-Amuah	Jul. 3, 2001	
	AI					
	AJ					
	AK					
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	AO					
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	AQ					
	AR					
	AS					
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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	FB							
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	FE							
	FF							
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Examiner Signature		Date Considered:	7-30-05
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<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/848,051
				Filing Date	05/03/2001
				First Named Inventor	Nancy E. Barton
				Group Art Unit	2152
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	60709-00012

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
C	AA	6,148,297		Swor et al.	11/14/2000	
C	AB	5,939,974		Heagle et al.	08/17/1999	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code* (if known)				
C	FA		WO98/11477		Fetherston, Denis	03/19/1998		

## OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
C	BA	International Search Report, for Application No. PCT/US01/14278, filed 03 May 2001, for General Electric Capital Corporation.	
C	BB	MALTBY, JOSEPHINE: "Environmental audit: Theory and practices." Managerial Auditing Journal, v10n8 pp: 15-26.	
C	BC	MACHARG, MARCIA: "Making the case for legal compliance audits." International Financial Law Review, Apr 1997, v16n4, pp. 9, 13+.	
C	BD	CLAYCAMP, H. GREGG, Industrial Health Risk Assessment: "Industrial hygiene for technology transition." American Industrial Hygiene Association Journal, May 1996, v57n5, pp. 423-435.	
C	BE	PANNKUK, LOIS; BAUMAN, DODIE. Avoiding the perils of noncompliance. ABA Bank Compliance, May/June 2001, v22n5, pp. 40-52.	

Examiner Signature		Date Considered:	10/1/01
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